Attorney's Docket No: L0461/7104

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.

Luiten et al.

09/766,889

Filed

January 19, 2001

For

MAGE ANTIGENIC PEPTIDES WHICH BIND HLA-B35 and HLA-B44

Examiner

Unknown

Art Unit

1646

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 24th day of July, 2001.

**Box Missing Parts Commissioner for Patents** Washington, D.C. 20231

## STATEMENT PURSUANT TO 37 CFR 1.821(f) AND 37 CFR 1.825 (a) and (b)

This statement is made pursuant to 37 CFR 1.821 (f), and 37 CFR 1.825 (a) and (b). Applicants submit herewith a substitute copy of the written sequence listing and a computer readable diskette to comply with the sequence requirements.

Applicants' attorney states that the information recorded in computer readable form is identical to the written sequence listing and that neither the computer readable form nor the written sequence listing contain new matter.

Respectfully submitted,

John R. Van Amsterdam

Reg. No. 40,212

WOLF, GREENFIELD & SACKS, P.C.

John R. Van Junstaka

600 Atlantic Avenue

Boston, Massachusetts 02210

Tel: (617) 720-3500

Docket No.: L0461/7104

Date: July 24, 2001

x07/24/01

Attorney's Docket No: L046

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Luiten et al.

Serial No.

09/766,889

Filed

January 19, 2001

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MAGE ANTIGENIC PEPTIDES WHICH BIND HLA-B35 and HLA-B44

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**Box Missing Parts** Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- [X]**Preliminary Amendment**
- [X] Fee Calculation Sheet
- [X] **Declaration for Patent Application**
- Petition for One Month Extension of Time [X]
- [X] Revised Sequence Listing - Paper Copy w/Identical Computer Readable Diskette
- [X] Statement Pursuant to 37 CFR 1.821(f) and 37 CFR 1.825(a) and (b)
- Copy of Notice to Comply with Sequence Requirements [X]
- Copy of Notice to File Missing Parts [X]
- [X]Check in the amount of \$1590.00
- [X]Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

If the enclosed check is determined to be insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

John R. Van Amsterdam, Reg No. 40,212

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, MA 02210

Telephone (617) 720-3500

Docket No. L0461/7104 Dated: July 24, 2001

x07/24/01

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Inventor(s): Luiten et al. Serial No: 09/766,889

Filed: January 19, 2001

CHECK BOX, if applicable:

For: MAGE ANTIGENIC PEPTIDES WHICH BIND HLA-B35 AND HLA-B44

**□** DUPLICATE

#### **Fee Calculation Sheet**

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	
	TOTAL CLAIMS (37 CFR 1.16(c))	20-20=	0x	\$ 18	= \$	0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	11-3=	8 x	\$ 80	= \$	640.00
	MULTIPLE DEPENDE	NT CLAIMS (if applicable)	) (37 CFR 1.16(d)) +	\$	= \$	
				BASIC FEE (37 CFR 1.16(a))	\$	710.00
	Fee for Petition for Extension of Time (if any)				\$	110.00
	Total of above Calculations =			\$	1,460.00	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$	
	Assignment Recordation Fee (if any)				\$	
	Other Fees (if any).				\$	130.00
	TOTAL =				\$	1,590.00

1. A check in the amount of \$1,590.00 is enclosed.

#### General Authorization to Charge Deposit Account and General Request for Extension of Time

- 2. a.⊠ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ⊠1.16 ⊠ 1.17 or ⊠1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
  - b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐1.16 ☐ 1.17 or ☐ 1.18.
- 3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

John R. Van Amsterdam, Reg. No. 40,212

Welf, Greenfield & Sacks, P.C.

600 Atlantic Avenue Boston, MA 02210-2211

(617) 720-3500 Attorneys of Record

Docket No. L0461/7104 (JRV) Date: July 24, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/766,889

01/19/2001

Luiten

L0461/7104 (JRV)

**CONFIRMATION NO. 9782** 

FORMALITIES LETTER.

\*OC000000006003488\*

John R. Van Amsterdam Wolf, Greenfield & Sacks, P.C. 600 Atlantic Avenue Boston, MA 02210

Date Mailed: 04/24/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/31/2001 BNGUYEN1 00000011 09766889

01 FC: 101 710.00 OP 02 FC: 102 640.00 OP 03 FC: 105 130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2884.
  - \$1044 for 58 total claims over 20.
  - \$1840 for 23 independent claims over 3.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3724.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

YSSASS CILITI

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE